

The background features a large, stylized 'X' shape. The left arm of the 'X' is filled with a yellow-to-orange gradient and contains a faint image of a modern building with glass windows. The right arm of the 'X' is filled with a light gray hexagonal pattern. Horizontal orange diagonal lines separate the title from the authors and the date.

THE ERA OF DATA RICH HYDROLOGY

RAFAEL L. BRAS, SATISH BASTOLA AND KEVIN
BEALE, GEORGIA INSTITUTE OF TECHNOLOGY

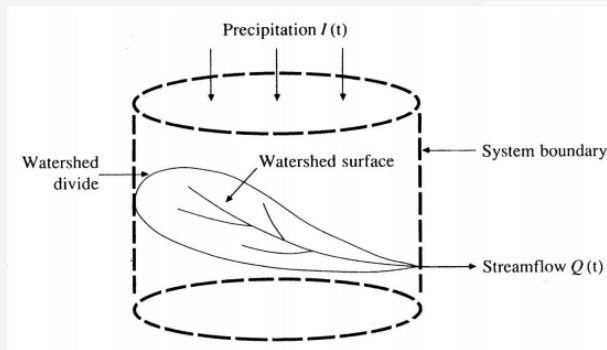
ARDESHIR EBTEHAJ, UNIVERSITY OF MINNESOTA

CREATING THE NEXT®

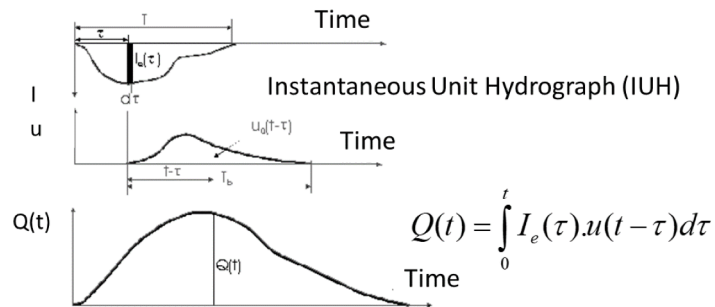
A decorative horizontal line consisting of parallel orange diagonal strokes.

OCTOBER, 2018

ADVANCES IN HYDROLOGICAL MODELING



Watershed as Hydrological system (Chow et al 1998)



Physical interpretation
of catchment response

1960s

Interconnected
conceptual elements

Sacramento
Xinanjia
HBV
NAM
ARNO
GR4J
TANK
SWM
HySIM

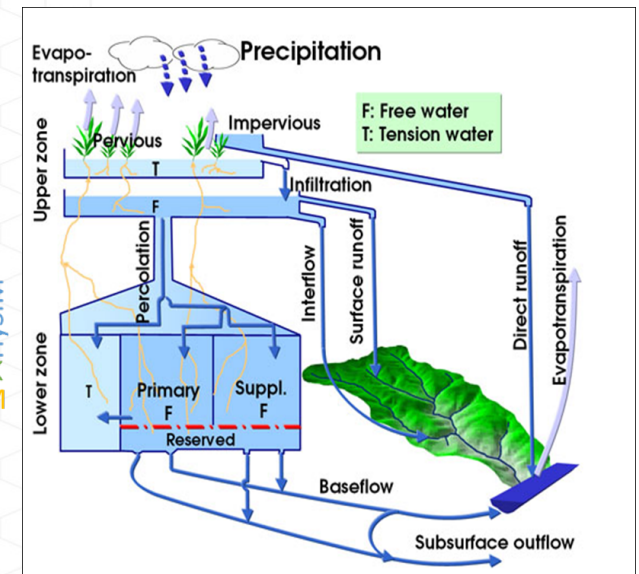


Image: mrcc.isws.illinois.edu

Geomorphological UH; Nonlinear UH; Regionalization of UH

BIG DATA APPROACH IN HYDROLOGY

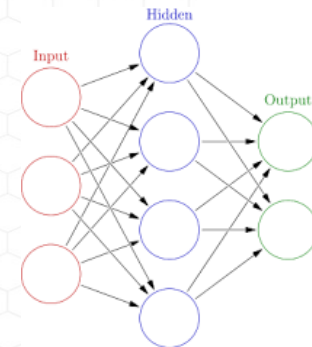
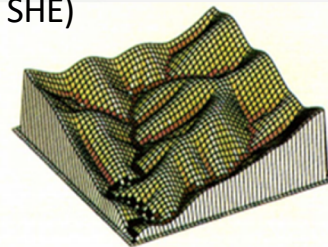


AVHRR/MODIS/Landsat

TRMM, SMOS, GPM, SMAP

Evolution of Big Data Approaches in Hydrology

Spatially Distributed Physically based models (e.g., tRIBS, MIKE SHE)

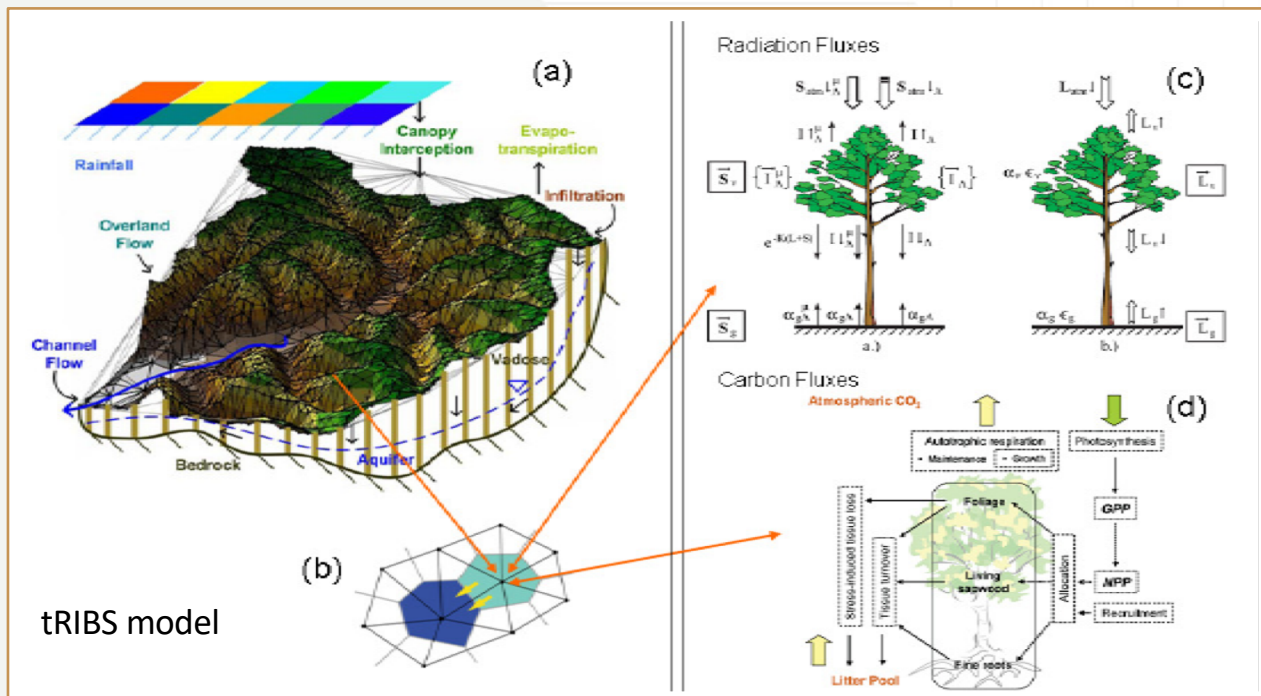


Machine/Deep Learning
(retrievals, super resolution)

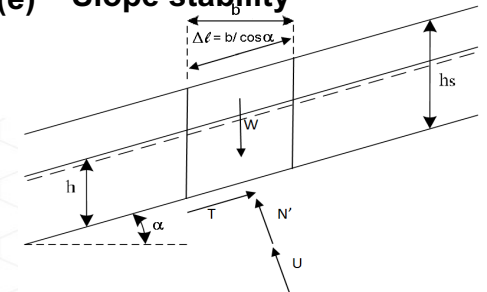
Data Assimilation: Reliable estimation parameter estimation and state variables

Hybrid Analytics
(Combining machine learning with physically based models)

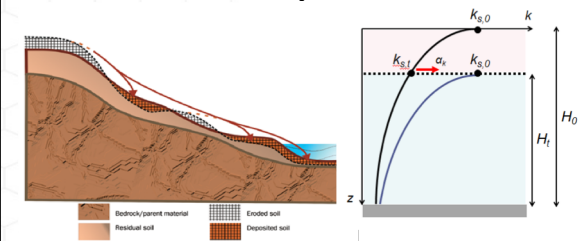
BIG DATA APPROACH: ADVANCES IN HYDROLOGICAL MODELING



(e) Slope stability



(f) Soil Organic carbon mass balance equation



(g) Soil carbon and nitrogen cycle

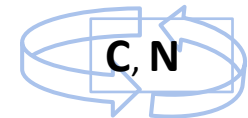
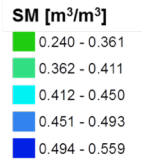
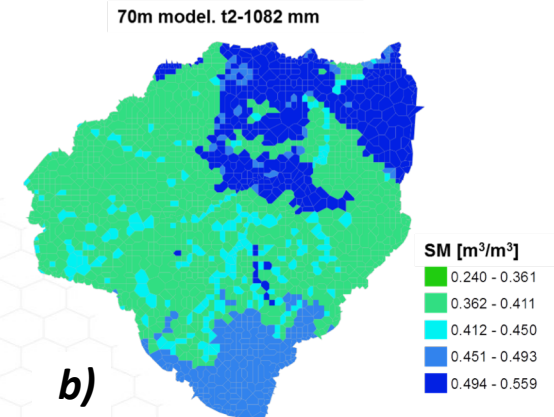
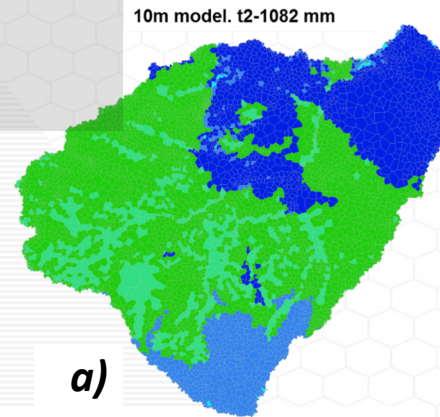
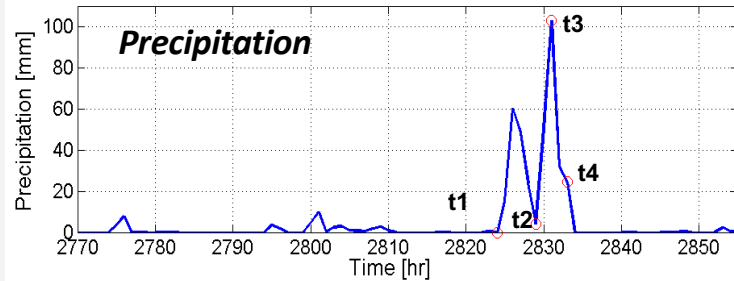
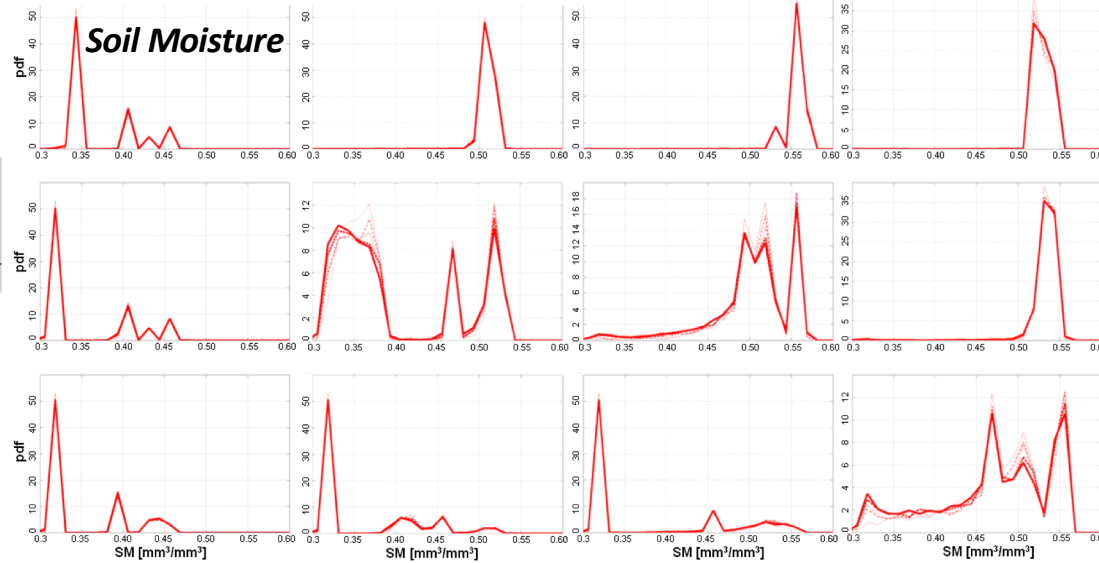


Figure The coupled tRIBS (a-c) and plant physiology model, VEGGIE, (d) is the eco-hydrological framework with additional modules (e) Slope stability sub model, (f) SOC mass balance sub model, (g) Carbon Nitrogen cycle (Lepore et al., 2013)

IMPACT OF DEM RESOLUTION ON SIMULATED SOIL MOISTURE

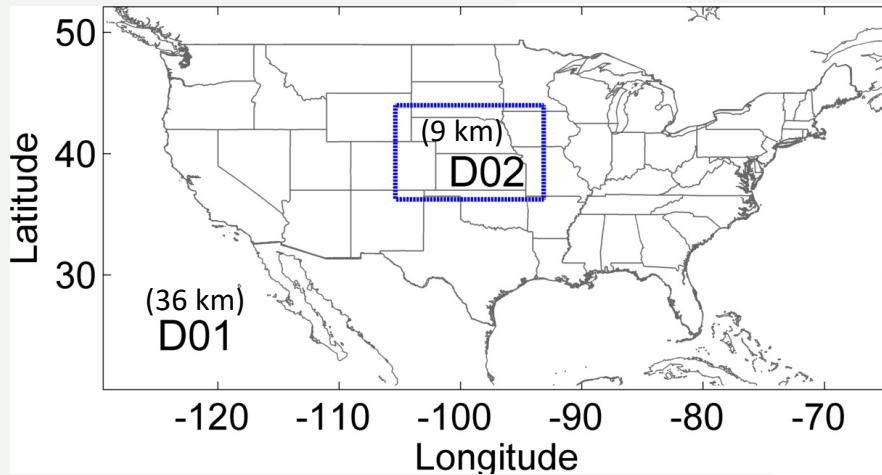


Simulated SM at t2-1082mm for (a)10m DEM and (b) 70m DEM

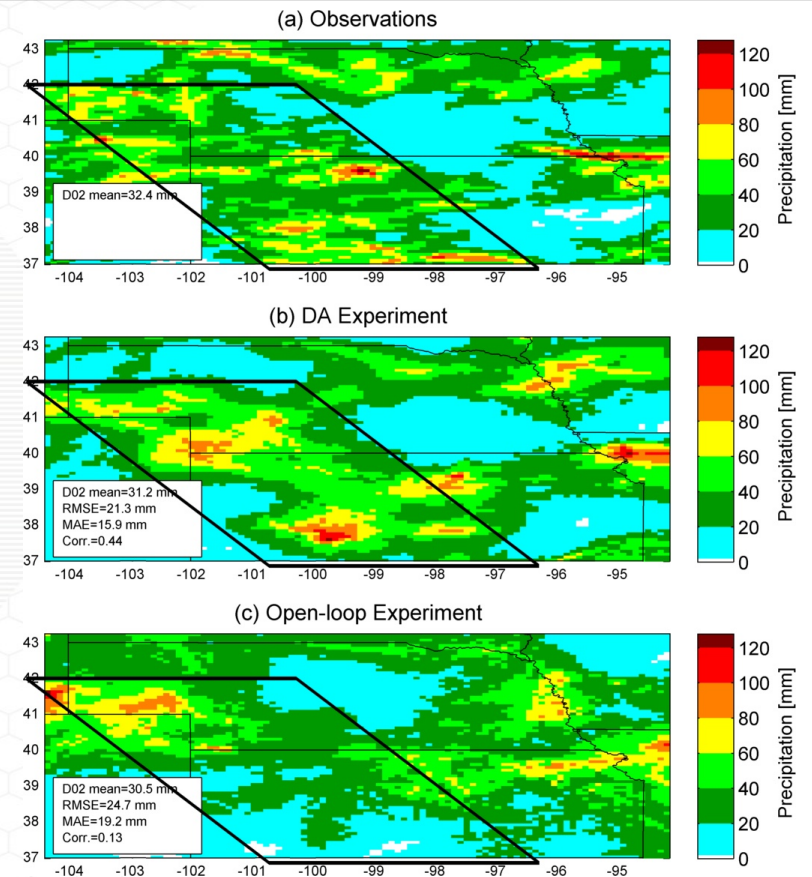


SM probability density functions at four time steps and three depths for the five resolutions. Differences are pronounced at t2-500mm, t3-1082mm, t4-1512mm.

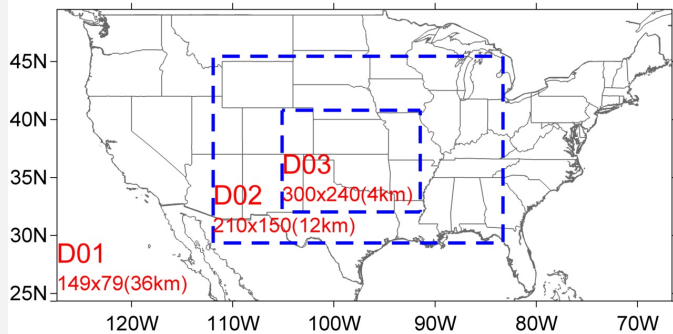
BIG DATA APPROACH: ASSIMILATION OF PRECIPITATION



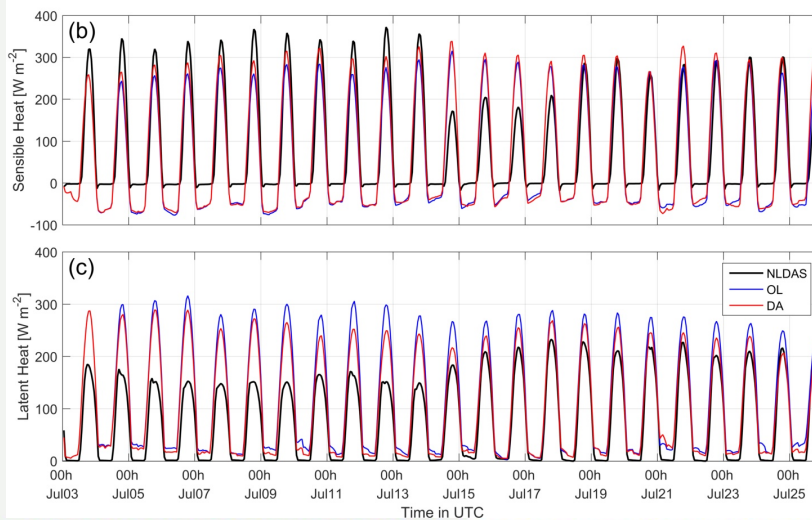
- Assimilating upscaled 6-h 20-km NCEP Stage IV precipitation in the WRF domain D01.
- Verifying the model precipitation at domain D02 against fine-scale NCEP Stage IV precipitation (Lin et al., 2017, JHM).



BIG DATA APPROACH: ASSIMILATION OF SOIL MOISTURE

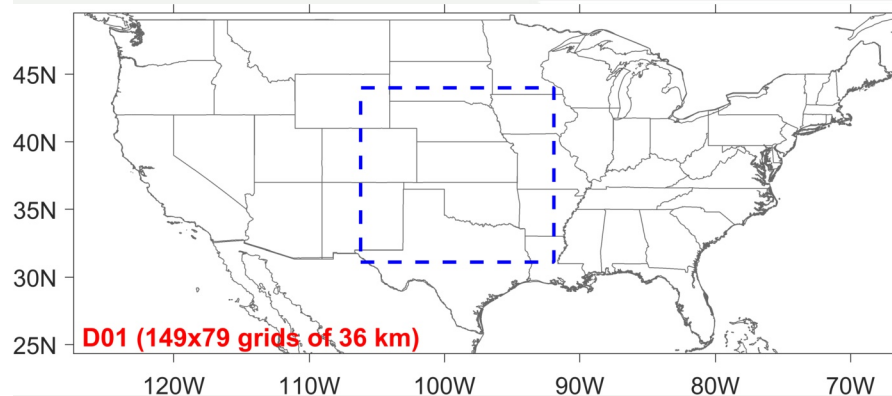


- Assimilating SMOS soil moisture into the Noah LSM domain D01 in July 2013
- Verifying the hourly gridded model soil moisture at domain D03 against the Soil Climate Analysis Network gauge data
- Verifying the heat flux simulation against NLDAS (Lin et al., 2018, WRR)

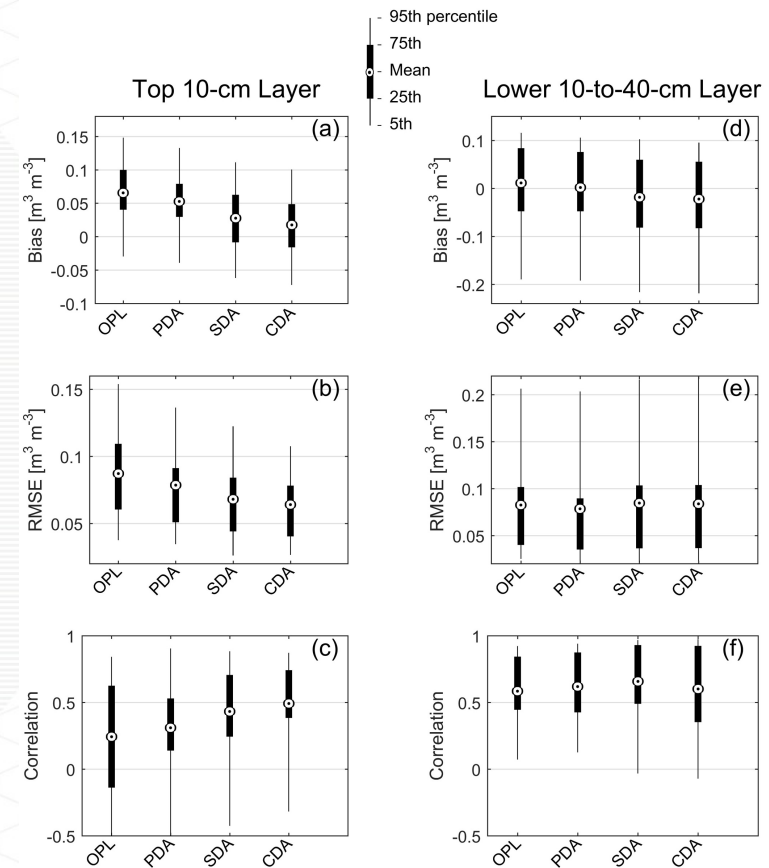


Improvement relative to Open loop (no DA)	Top 10-cm SM	10-to-40- cm SM
MAE	35%	9%
RMSE	33%	8%
Correlation	19%	25%

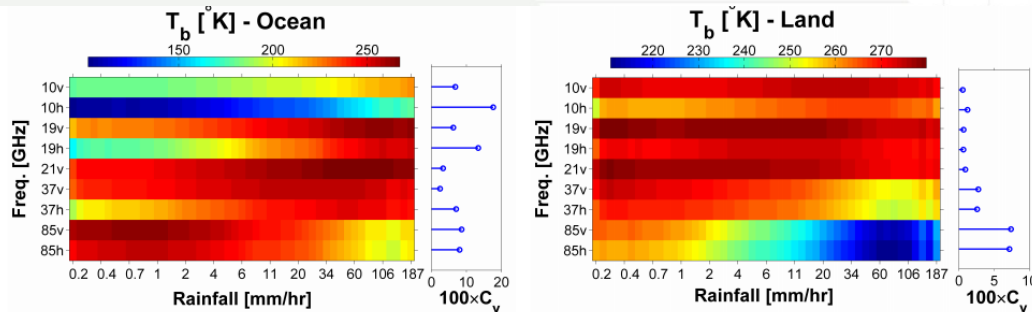
BIG DATA APPROACH: ASSIMILATION OF PRECIPITATION + SOIL MOISTURE



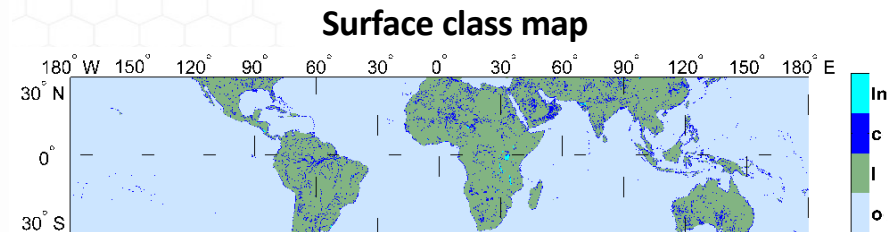
- Assimilation of TRMM 3B42 precipitation and SMOS soil moisture
- Verification of model soil moisture in the blue box against the hourly soil moisture gauge data in July 2013 (Lin et al., 2018, MWR).



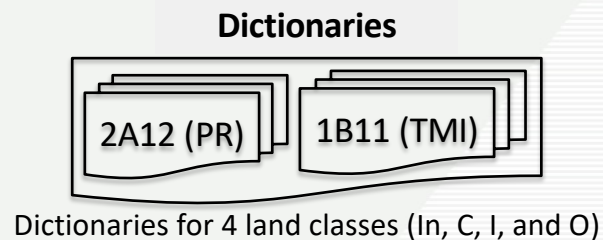
PRECIPITATION RETRIEVAL: DICTIONARY BASED SHARP ALGORITHM



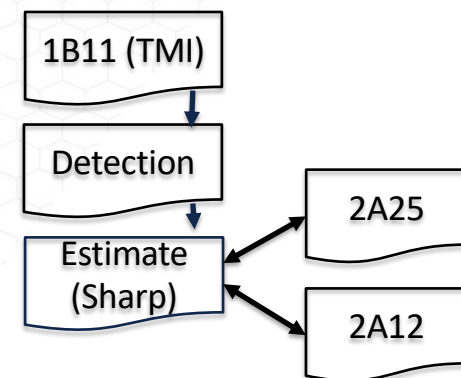
Expected values of the spectral brightness temperatures for different intervals of the surface rainfall intensity over ocean (left panel) and land (right panel)



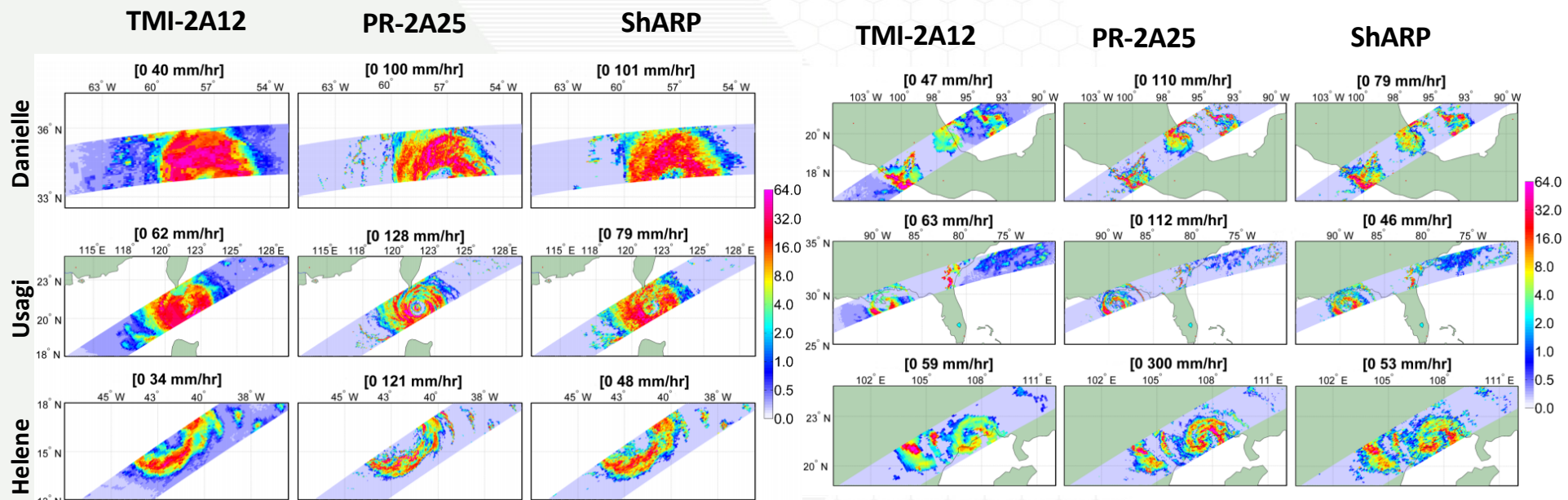
Different earth surface classes used in the current version of the ShARP, namely inland water body (In), coastal zone (c), land (l) and ocean (o). The classification is adopted based on the available data (version 7) of the PR-1C21 product, which are mapped onto a 0.05-degree regular grid



Ebtehaj A.M., Rafael L. Bras, Efi Foufoula-Georgiou (2015, IEEE)



PRECIPITATION RETRIEVAL : DICTIONARY BASED SHARP ALGORITHM



From left to right: TMI-2A12, PR-2A25 and ShARP retrievals. Top to bottom panels: hurricane Danielle in 08/29/2010 (orbit No. 72840) at 09:48 UTC; super typhoon Usagi in 09/21/2013 (orbit No. 90277) at 02:09 UTC; and tropical storm Helene in 09/15/2006 (orbit No. 50338) at 14:34 UTC.

From left to right: TMI-2A12, PR-2A25 and ShARP retrievals. Top to bottom panels: tropical storm Fernand in 08/26/2013 (orbit No. 89874) at 05:30 UTC, hurricane Isaac in 28/08/2012 (orbit No. 84227) at 22:12 UTC and typhoon Kai-takin 08/17/2012 (orbit No. 84050) at 13:35 UTC.

SOIL MOISTURE ACTIVE PASSIVE (SMAP)

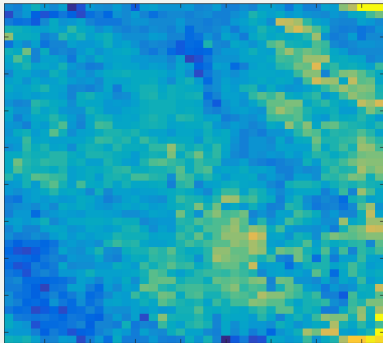
Active Radar: 3 km

Passive Radiometer: 40 km

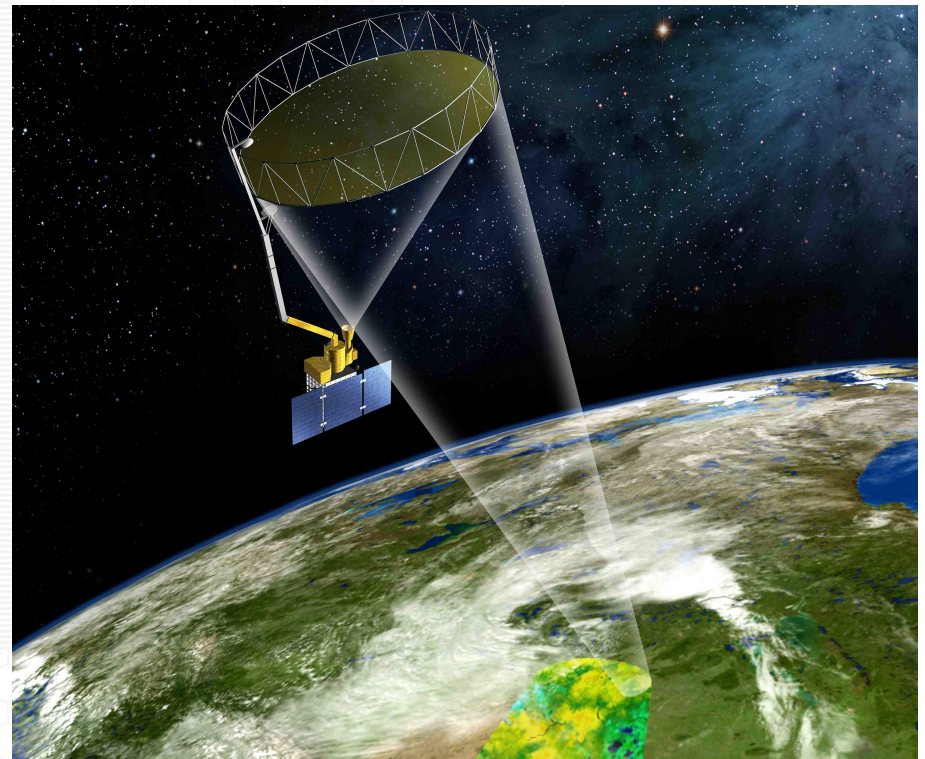
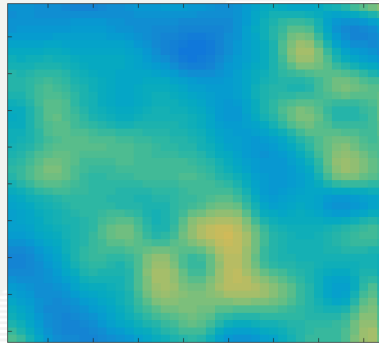
Combined: 10 km

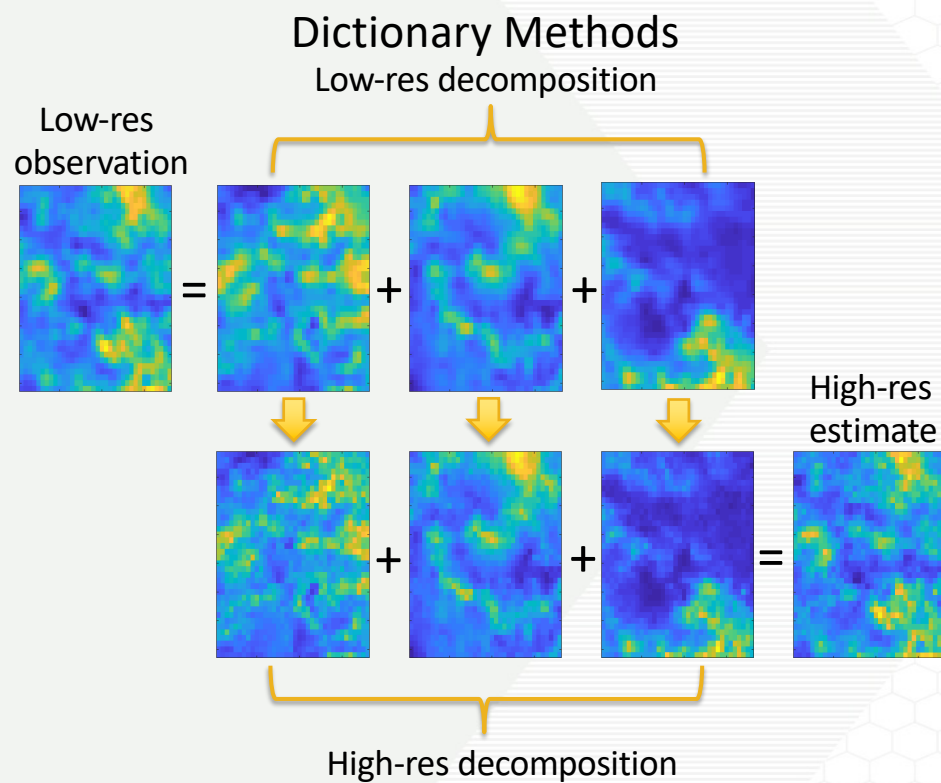
Resolution loss with radar failure:

Radiometer + Radar

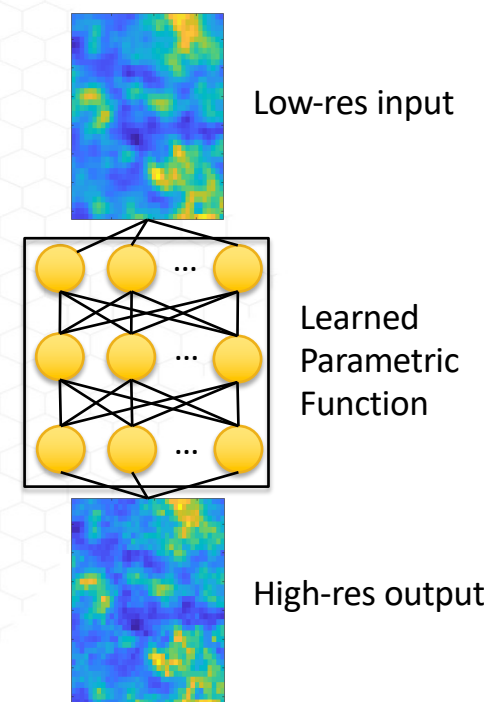


Radiometer Only

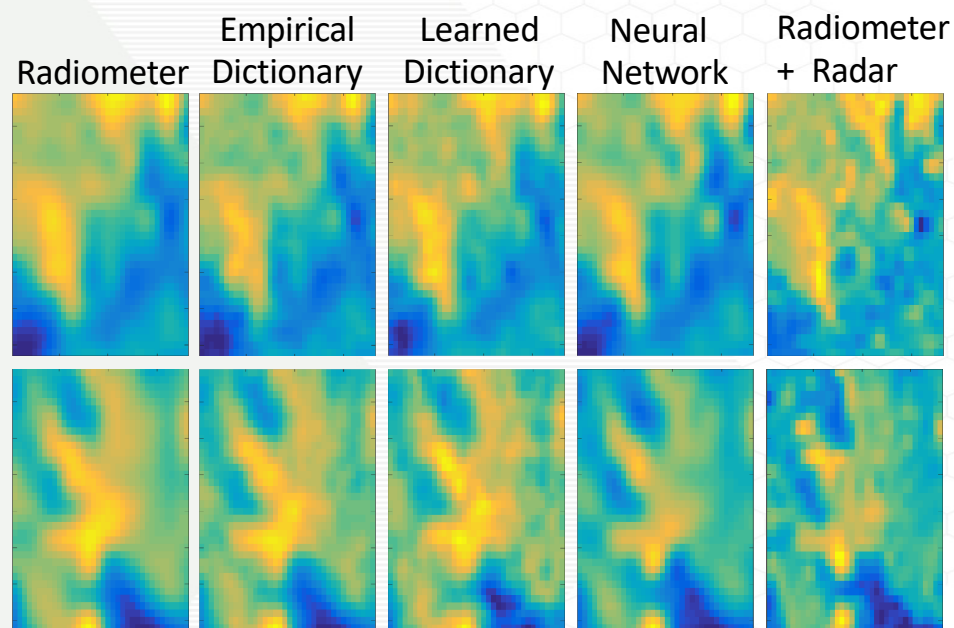




Convolutional Neural Network

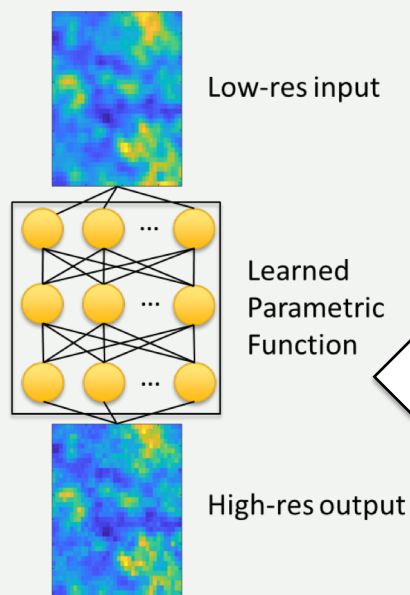


BLACK BOX SUPER-RESOLUTION OF SMAP



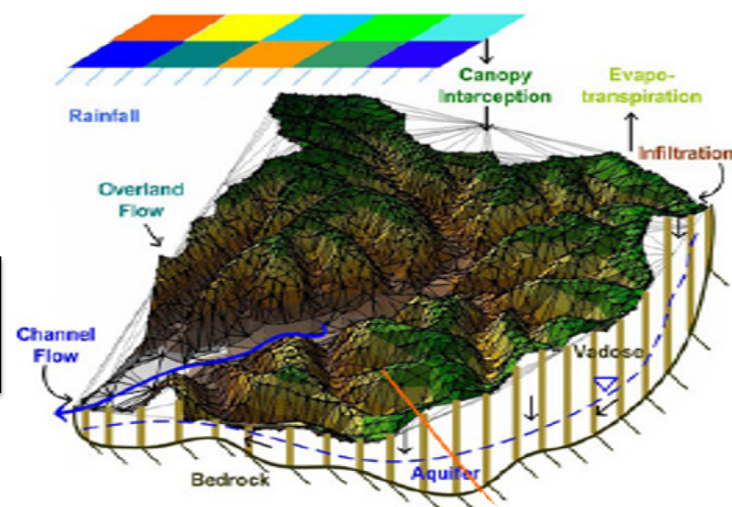
Training on all “complete” patches
Average ~1% improvement in MSE

HYBRID DATA ANALYTICS METHOD



Machine learning (ML) models:
Based on historical information

Guide/Teach ML on how
physical system behave

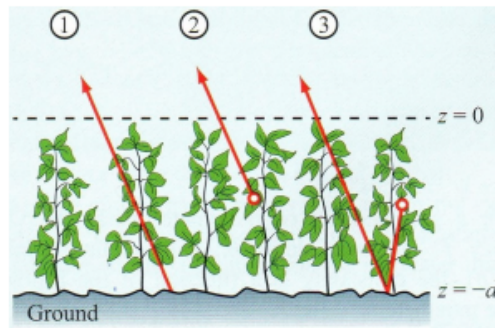


Physical models (e.g., tRIBS): Account of the soil's
hydrological conditions, includes climatic variables to
model terrestrial water balance

SOIL MOISTURE RETRIEVAL ALGORITHM

The τ - ω model at L-Band:

- ① Emission by the soil surface: $(1 - r_p)\gamma T_s$
- ② Emission by the vegetation: $(1 - \omega)(1 - \gamma)T_c$
- ③ Emission by the vegetation followed by soil reflection: $r_p(1 - \omega)(1 - \gamma)\gamma T_c$

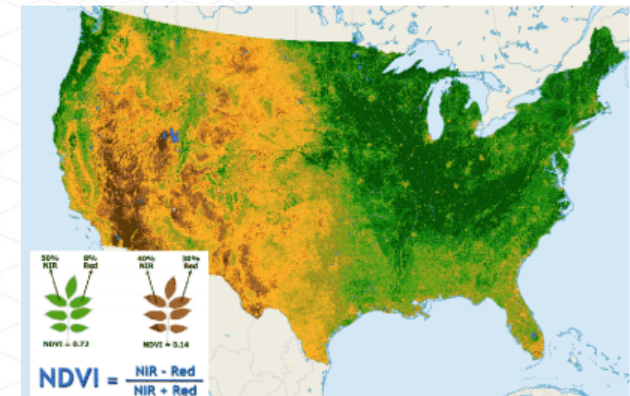


$$T_{bp} = (1 - r_p)\gamma T_s + (1 - \omega)(1 - \gamma)T_c + r_p(1 - \omega)(1 - \gamma)\gamma T_c$$

T_s and T_c : soil and canopy temperature [K]

r_p (soil reflectivity), γ (vegetation transmissivity), ω (single scattering albedo)

- Single channel algorithm(SCA)
- Double channel algorithm (LS inversion)(DCA)
- **Constrained multichannel algorithm (CMCA)**



1. ω is constant and γ is estimated from NDVI climatology
2. Estimate r_p and infer soil moisture from Fresnel equation and a soil dielectric model

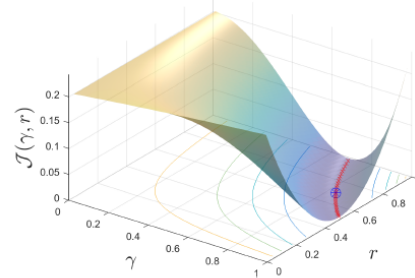
SOIL MOISTURE RETRIEVAL ALGORITHM

Double Channel Algorithms (DCA)

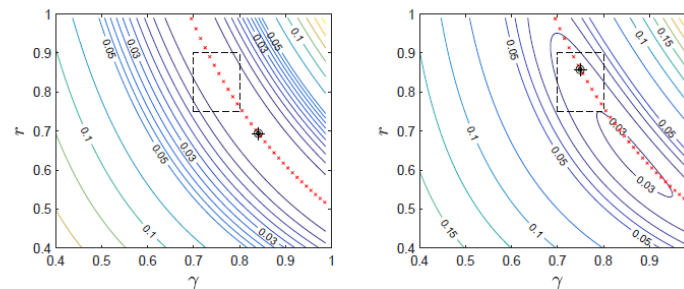
$$e_p = Tb_p / T_s = f(\theta) + \epsilon \implies \theta^* = \underset{\theta}{\operatorname{argmin}} \frac{1}{2} (e_p - f(\theta))^2 + \lambda \|\theta\|_2^2 \text{ subject to } \theta_l \preceq \theta \preceq \theta_u.$$

where $\theta = (r_p, \gamma)^T$.

- The LS cost function for the $\omega = 0.05$ and $e = 0.5$.



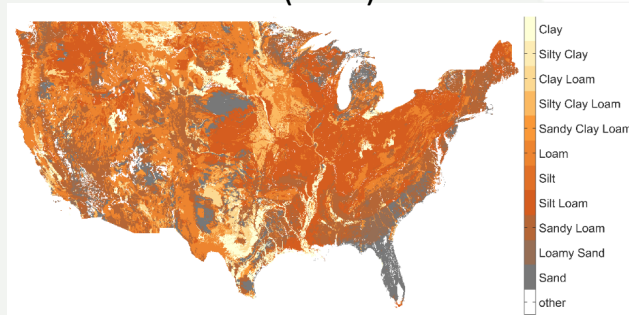
- How about a constrained inversion?



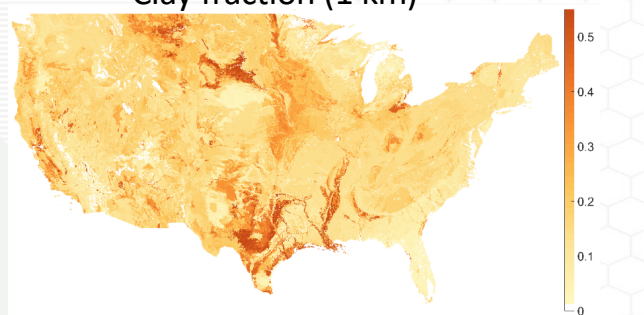
Ebtehaj A.M. and R.L. Bras (2018)

CONSTRAINED MULTICHANNEL RETRIEVAL ALGORITHM (CMCA): INVERSION PHYSICAL BOUNDS

Soil texture (1 km)



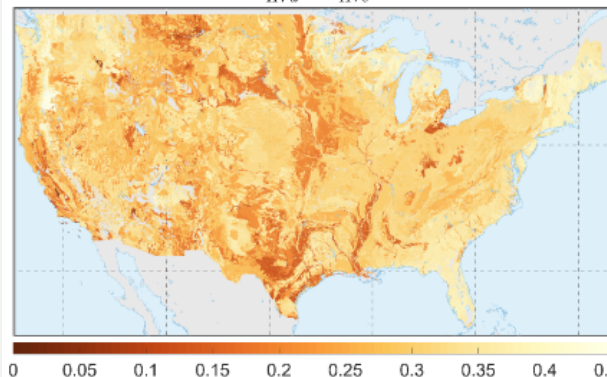
Clay fraction (1 km)



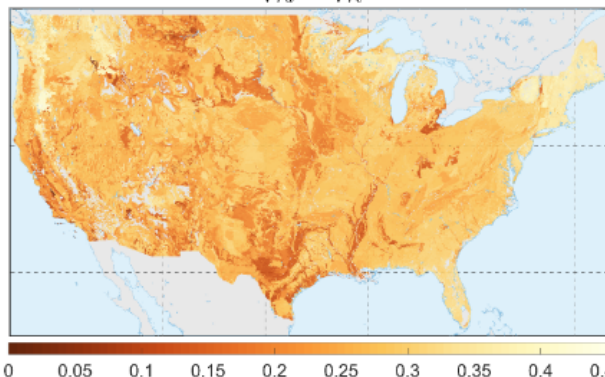
Porosity (1 km)



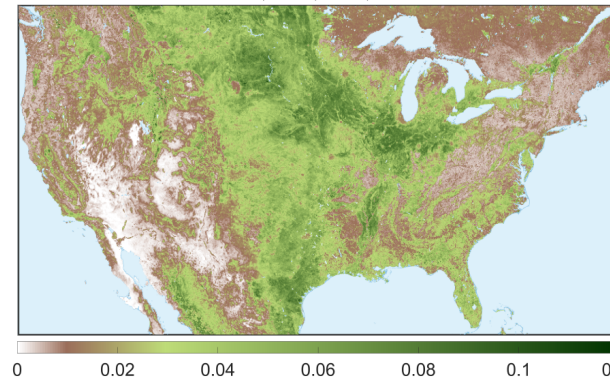
$\tau_{Hru} - \tau_{Hrl}$



$\tau_{Vru} - \tau_{Vrl}$



$\gamma_b = \gamma_u - \gamma_l$

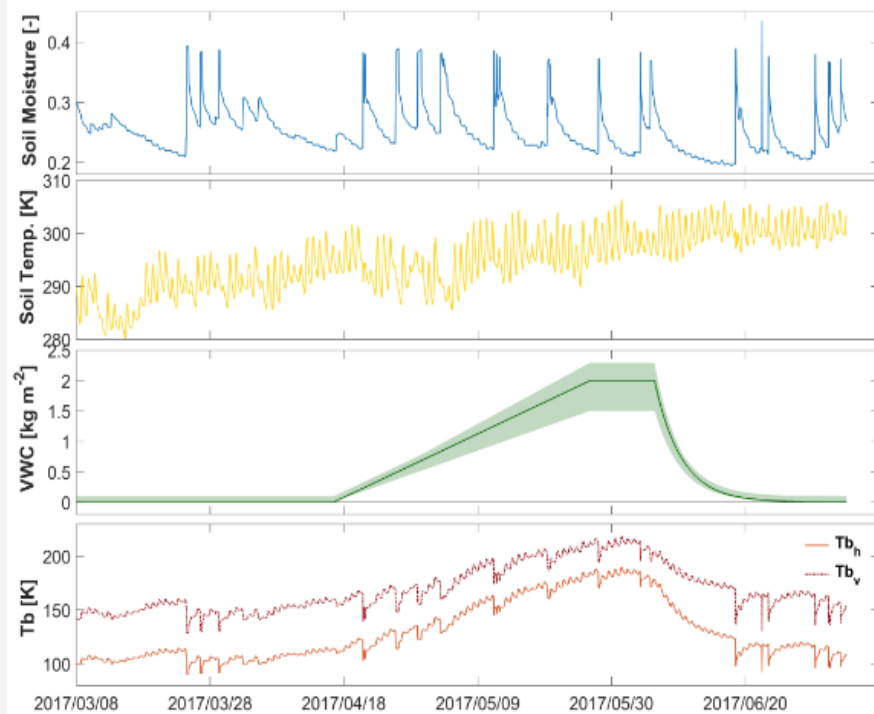


Soil reflectivity (1 km, f=1.4 GHz)

Vegetation Transmissivity (5 km)

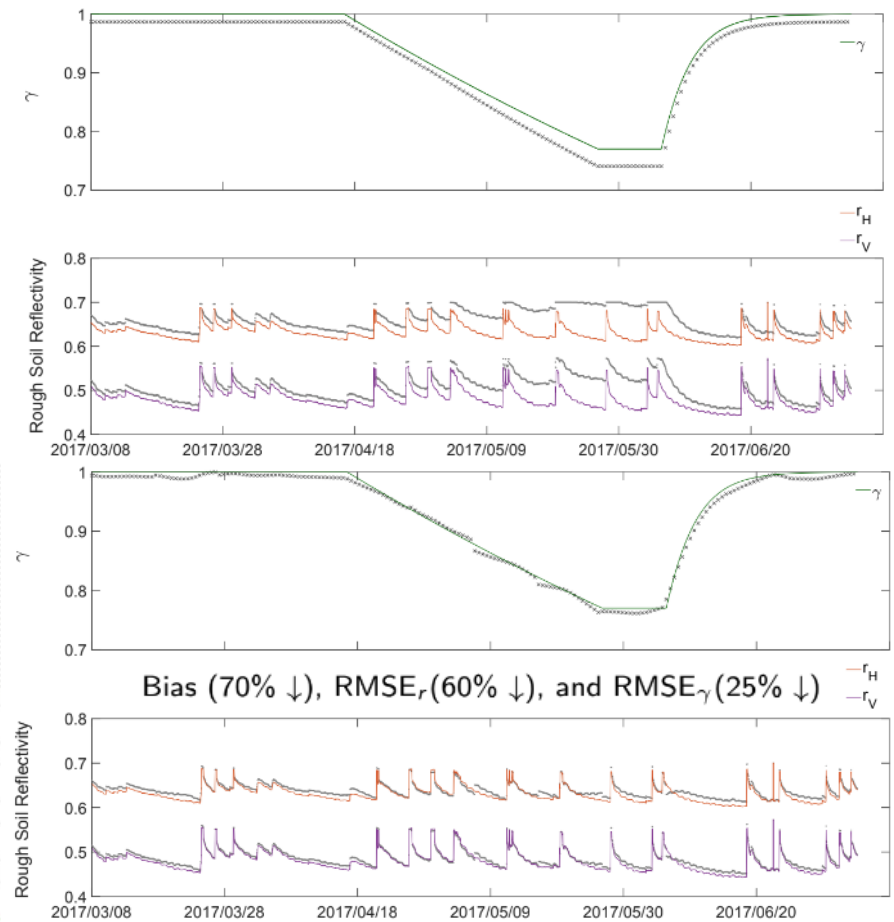
TIME SERIES EXPERIMENT: RETRIEVAL WITH AND WITHOUT PHYSICAL CONSTRAIN

Soil Climate Analysis Network gauge station in Arkansas, US



Ebtehaj A.M. and R.L. Bras (2018)

Soil moisture retrievals
→ Without physical constrain
→ With physical constrain



Bias (70% ↓), $RMSE_r$ (60% ↓), and $RMSE_\gamma$ (25% ↓)

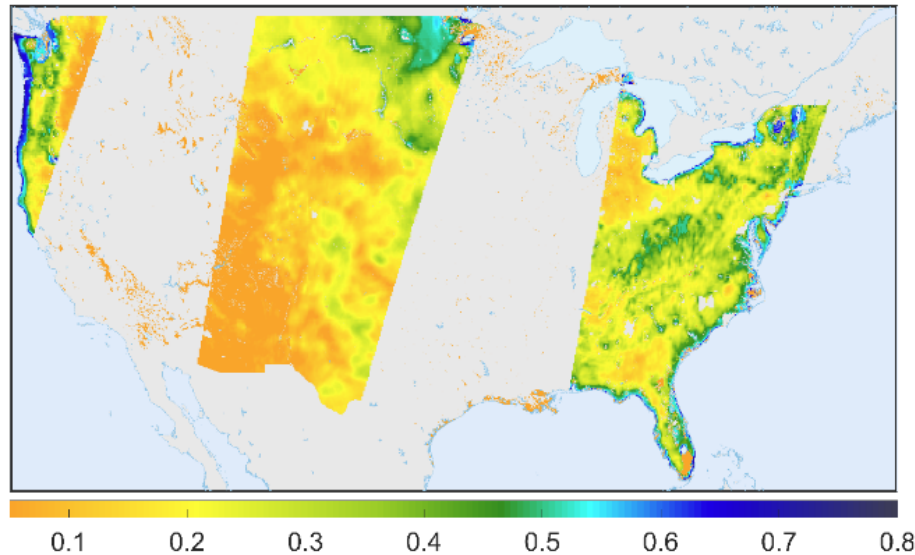
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SOIL MOISTURE RETRIEVAL: IMPLEMENTATION FOR SMAP DATA

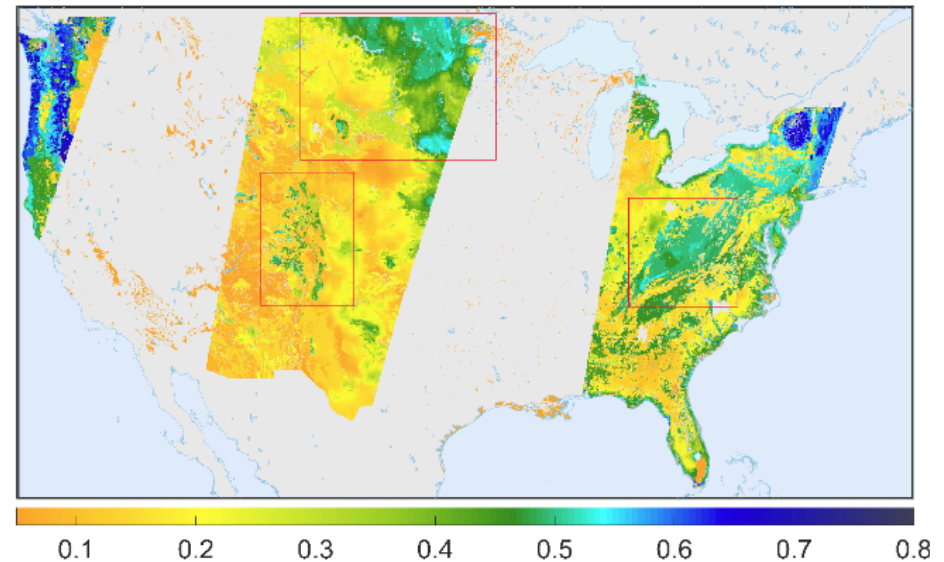
SMAP overpass on 06/01/2016-SCA official NASA product at 9km

SMAP overpass on 06/01/2016-CMCA at 1km

Soil Moisture-SCA



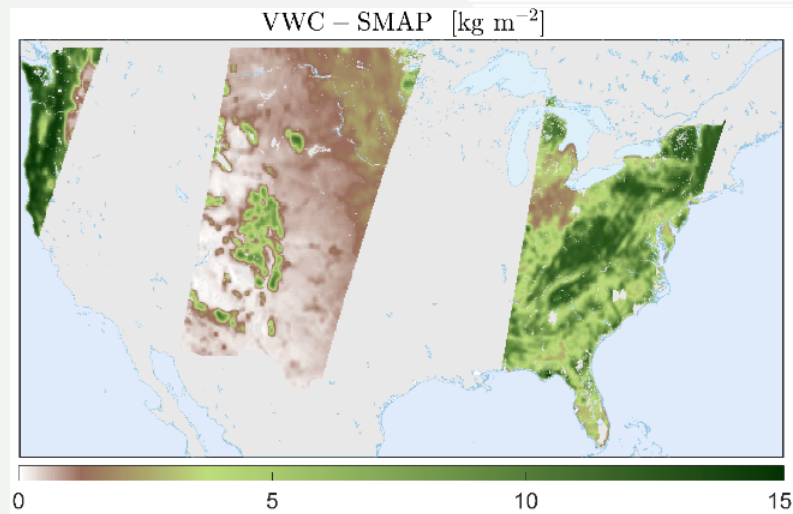
Soil Moisture-CMCA



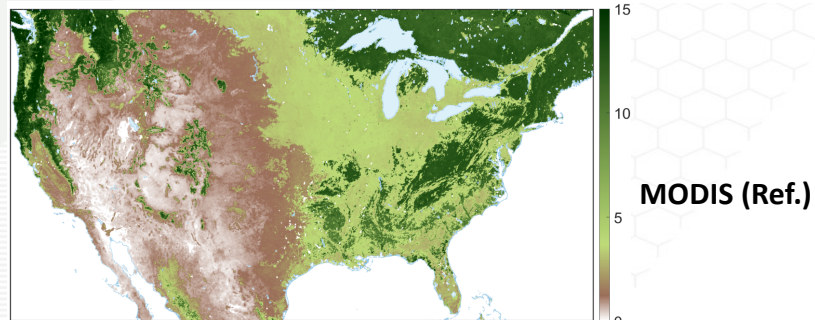
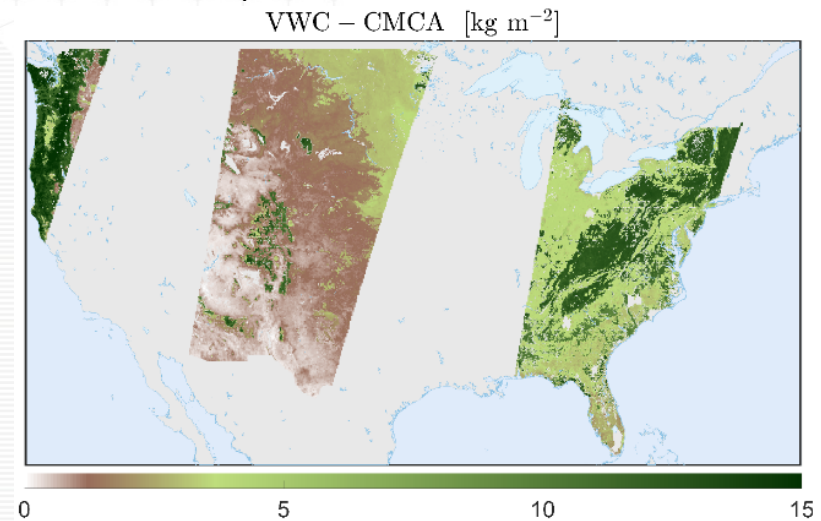
Ebtehaj A.M. and R.L. Bras (2018)

VWC RETRIEVAL: IMPLEMENTATION FOR SMAP DATA

SMAP overpass on 06/01/2016-SCA official NASA product at 9km



SMAP overpass on 06/01/2016-CMCA at 1km



Era of Data Rich Hydrology

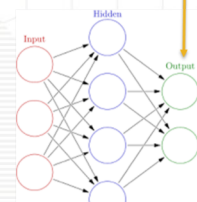
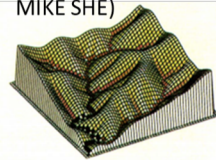


AVHRR/MODIS
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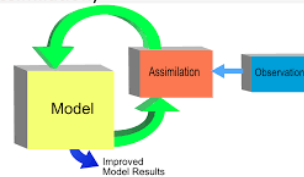
Evolution of big data approach in Hydrology

Spatially distributed physically based models (e.g., TRIBS, MIKE SHE)

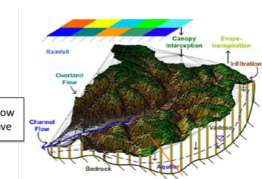
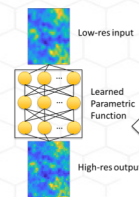


Machine/deep learning
(Super resolution for
downscaling)

Reliable estimation of geospatial
data, model forcing, parameter
estimation, state estimation (**data
assimilation**)



Hybrid Analytics
(Combining
machine learning
with physically
based models)



Guide/Teach ML on how
physical system behave

Thank You!